



Attorney Docket No. 60000-0014

Receipt
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group Art Unit No.: 2171

Carlos A. Serrano-Morales, et al.

Examiner: Not Yet Assigned

Serial No.: 09/852,609

Filed: May 9, 2001

For: APPROACH FOR RE-USING BUSINESS
RULES

Commissioner for Patents
Office of the Initial Patent Examination
Customer Service Center
Washington, DC 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as follows:

- (1) The filing fee received is incorrect. The correct filing fee is \$862.00. A copy of the check which was mailed with the patent application is enclosed.
- (2) The applicant is not a small entity.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Marcel K. Bingham
Registration No. 42,327

Dated: September 6, 2001

1600 Willow Street
San Jose, California 95125-5106
Telephone: (408) 414-1080
Facsimile: (408) 414-1076

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Box Missing Parts, Commissioner for Patents Washington, D.C. 20231.

on September 6, 2001 by Trudy Bagdon

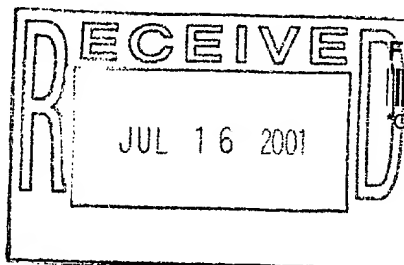


UNITED STATES PATENT AND TRADEMARK OFFICE

MKB/TB

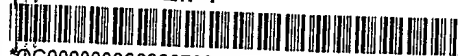
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/852,609	05/09/2001	2171	481 862	60000-0014	11	24	4

 Hickman Palermo Truong & Becker, LLP
 1600 Willow Street
 San Jose, CA 95125-5106


CONFIRMATION NO. 4894

FILING RECEIPT



C000000006280769

Date Mailed: 07/11/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Carlos A. Serrano-Morales, Residence Not Provided;
 David M. Mellor, Residence Not Provided;
 Chris W. Werner, Residence Not Provided;
 Jean-Luc M. Marce, Residence Not Provided;
 Marc Lerman, Residence Not Provided;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/203,073 05/09/2000

Foreign Applications

If Required, Foreign Filing License Granted 07/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** ~~SMALL ENTITY~~ NOT A SMALL ENTITY

Title

Approach for re-using business rules

Preliminary Class

DATA BASE ENTRY

DOCKETED
DATES:

7/17/01

707

Data entry by : KANNO, MESERET

Team : OIPE

Date: 07/11/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

1630

HICKMAN PALERMO TRUONG & BECKER LLP

PTO PAYMENT ACCOUNT

1600 WILLOW STREET

SAN JOSE, CA 95125

HERITAGE BANK OF COMMERCE

SAN JOSE, CA 95113

90-4228-1211

5/9/01

PAY TO THE
ORDER OF

Commissioner for Patents

\$862.00

DOLLARS

Eight hundred sixty two

MEMO 60000-0014

⑈001630⑈ ⑆121142287⑆ 001566660⑈

Security Features included. Details on page 1.

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5/9/01 Commissioner for Patents

\$862

60000-0014

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PTO PAYMENT ACCOUNT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Carlos A. Serrano-Morales, et al.

Group Art Unit: 2171

Application No.: 09/852,609

Examiner:

Filed: May 9, 2001

Title: APPROACH FOR RE-USING BUSINESS
RULES

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declarations and Powers of Attorney. The above-identified application is the same application which the inventors executed by signing the enclosed declarations.

() Statutory basic filing fee () Utility () Design

() Additional claim fees of \$

(X) Missing Parts Surcharge = \$130.00

(X) 11 sheets of substitute drawings in compliance with 37 CFR 1.84.

() Extension of Time Request for reply to Notice of Missing Parts is requested.

() one month = \$110.00

() two months = \$380.00

() three months = \$890.00

() four months = \$1,390.00

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: September 6, 2001

Typed Name: TRUDY BAGDON

Signature: Trudy Bagdon

Respectfully submitted,

By 

Marcel K. Bingham

Reg. No. 42,327

Date: September 6, 2001

Telephone No.: (408) 414-1080

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1600 Willow Street

San Jose, California 95125-5106

60000-0014

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

09/852,609

FILING/RECEIPT DATE

05/09/2001

FIRST NAMED APPLICANT

Carlos A. Serrano-Morales

ATTORNEY DOCKET NUMBER

60000-0014

CONFIRMATION NO. 4894

FORMALITIES LETTER



OC000000006280770

Hickman Palermo Truong & Becker, LLP
1600 Willow Street
San Jose, CA 95125-5106

Date Mailed: 07/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65, 130

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

09/13/2001 BNGUYEN1 00000044 09852609

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09852609-0010001

Meseret K.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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